

RCA 89,385

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Johnston Lynch.  
Serial No.: 09/475,448  
Filed: December 30, 1999  
For: RATINGS CONTROL SYSTEM WITH TEMPORARY OVERRIDE  
CAPABILITY  
Examiner: N/A  
Art Unit: 2713

**RECEIVED**

**FEB 05 2002**

INFORMATION DISCLOSURE STATEMENT

**Technology Center 2600**

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [XX] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
☐ 8 The required certification made in item 11 below; or  
☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.  
☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and  
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files,

- Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and  
(b) The required Certification is stated in item 11 below.

☐ 11 Certification

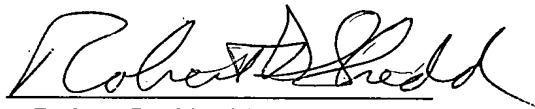
☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☐ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
David J. Lynch

BY:



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Princeton, New Jersey 08543-5312

DATE: 1/23/02

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Date: 1-23-02 Signature [Handwritten Signature]